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SEC FORM ExA-001-R

RENEWAL APPLICATION FOR ACCREDITATION OF AN INDIVIDUAL EXTERNAL AUDITOR

Renewal Application for accreditation as external auditor of
(Please check appropriate box):

Group A ¹

Group B ²

Group C ³

1. Name of Applicant: _____

2. SEC Accreditation No. _____ Date Granted: _____
Expiration Date: _____

3. Place & Date of Birth: _____ Age: _____

4. Business Address: _____

Telephone No. _____ Fax No. _____

5. Education:

Course	Name of School	Year Finished/Honors Received
Accountancy		
Other Collegiate Course/s		
Post-graduate		

6. PRC License Number: _____ Date Granted _____
Expiration Date _____

7. BOA Accreditation No.: _____ Date Granted _____
(If applicant is a Partner of a Firm, indicate Expiration Date _____
Number of the Firm)

¹**Group A** (i) Issuers of registered securities which have sold a class of securities pursuant to a registration under Section 12 of the Securities Registration Code (SRC) except those issuers of registered timeshares, proprietary and non-proprietary membership certificates which are now covered in Group B; (ii) Issuers with a class of securities listed for trading in an Exchange; (iii) Public companies or those which have total assets of at least Fifty Million Pesos (P50,000,000.00) or such amount as the Commission shall prescribe, and having two hundred (200) or more holders each holding at least One Hundred (100) shares of a class of its equity securities.

²**Group B** (i) Issuers of registered timeshares, proprietary and non-proprietary membership certificates; (ii) Investment Houses; (iii) Brokers and Dealers of Securities; (iv) Investment Companies; (v) Government Securities Eligible Dealers (GSEDs); (vi) Universal Banks Registered as Underwriters of Securities; (vii) Investment Company Advisers; (viii) Clearing Agency and Clearing Agency as Depository; (ix) Stock and Securities Exchange/s; (x) Special Purpose Vehicles registered pursuant to Special Purpose Vehicle Act of 2002, and its implementing rules; (xi) Special Purpose Corporation registered under the Securitization Act of 2004 and its implementing rules; (xii) Such other corporations which may be required by law to be supervised by the Commission.

³**Group C** (i) Financing Companies; (ii) Lending Companies; (iii) Transfer Agents.

8. Clients Profile:

Name of Corporate Clients	Period of Engagement	Amount of Total Assets

9. Exhibits

- i. Copy of Certificate of Accreditation issued by BOA. In case of a partner of a firm, the certificate should include a list issued by the BOA which indicates the names of the qualified partner/s of the firm;
- ii. Copy of SEC previous Certificate of Accreditation;
- iii. Copy of certificates of participation in trainings and seminars as prescribed under Section 5.1(B)(ii) of the Circular;
- iv. In case there is change in any document submitted during the initial application for accreditation, the amended/updated document should be submitted;
- v. Copy of the AFS of the applicant's two (2) largest clients in terms of total assets.

10. Processing Fee

This application for accreditation has been submitted along with the payment of the prescribed fee in the amount of _____ under O.R. No. _____

I hereby certify that the foregoing information are true and correct.

Lastly, I shall allow SEC to publish necessary information in relation to my accreditation.

Applicant's Signature

Date: _____

Place: _____

J U R A T